

Mechanism of the oxidative addition of aryl halides to bis-carbene palladium(0) complexes*

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Abstract: Bis-*N*-heterocyclic carbenes Pd⁰ complexes, Pd⁰(NHC)₂, are efficient catalysts in Heck reactions performed with aryl bromides or chlorides. The Pd⁰(NHC)₂ that are not stable are generated in situ from Pd^{II} precursors PdY₂(NHC)₂ (Y = halides) after a chemical reduction. The latter procedure can be mimicked by an electrochemical reduction. The transient Pd⁰(NHC_{Bn})₂ is generated by electrochemical reduction of PdY₂(NHC_{Bn})₂, and its reactivity in oxidative addition to aryl bromides and chlorides is characterized by the same electrochemical technique with the determination of the rate constants. Pd⁰(NHC_{Bn})₂ is found to be more reactive than the mixed complex Pd⁰(NHC_{Bn})(PPh₃). Both are the reactive species in an associative mechanism. Comparison with the isolated Pd⁰(NHC_{tBu})₂ reveals that Pd⁰(NHC_{Bn})₂ is more reactive than Pd⁰(NHC_{tBu})₂ even if the latter reacts via the mono-carbene Pd⁰(NHC_{tBu}) in a dissociative mechanism. This suggests that the formation of mono-carbene Pd⁰(NHC) is not a guarantee for a fast oxidative addition because it is always generated at low concentration in its equilibrium with the related nonreactive bis-carbene Pd⁰(NHC)₂.

Keywords: kinetics; mechanism; *N*-heterocyclic carbene; palladium; oxidative addition.

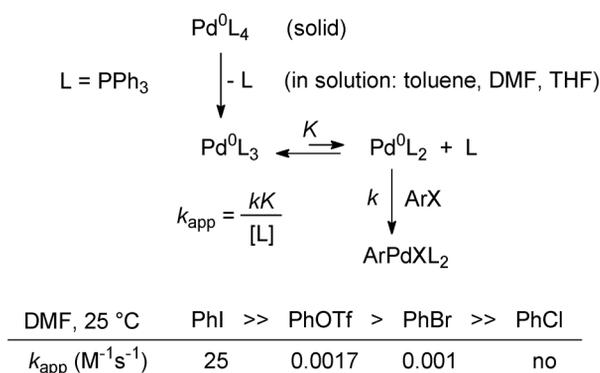
INTRODUCTION

In the pioneer work by Fauvarque et al. [1], it was established from kinetic data that the reactive Pd⁰ complex in oxidative additions to aryl halides (ArX) is a bis-ligated complex Pd⁰(PPh₃)₂ generated in situ from the precursor Pd⁰(PPh₃)₄. Even if Pd⁰(PPh₃)₂ is very reactive, it is generated at low thermodynamic concentration from the major but unreactive species Pd⁰(PPh₃)₃ [2] so that the overall oxidative addition performed from Pd⁰(PPh₃)₄ is not characterized by the rate constant *k* but by an apparent rate constant *k*_{app} = *kK*/[L] with a reaction order of –1 for the ligand [1,3] (Scheme 1). From the comparative reactivity of phenyl halides and triflate (Scheme 1), it emerges that PhCl cannot react with Pd⁰(PPh₃)₄ in dimethylformamide (DMF) at 25 °C [4].

Consequently, if one needs to react aryl bromides or chlorides in palladium-catalyzed reactions, it is of interest to use ligands L which are more electron-donor than PPh₃ to enhance the reactivity of Pd⁰L₂ and to start from isolated Pd⁰L₂ complexes to bypass the equilibrium Pd⁰L₂/Pd⁰L₃, which is re-

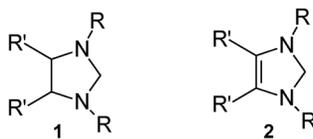
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Scheme 1 Rate and mechanism of the oxidative addition of Pd(PPh₃)₄ to phenyl halides and triflate.

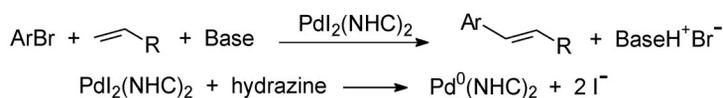
responsible for the low concentration and consequently low reactivity of Pd⁰L₂. Isolated Pd⁰L₂ species bearing bulky and electron-donor tertiary phosphines have been introduced by Hartwig [5]. It has been established that Pd⁰L₂ (associative mechanism) [5,6] or Pd⁰L (dissociative mechanism) [5] may react with aryl halides, depending on the ligand [5,6], the aryl halide [5], and the aryl halide concentration [7]. Later on, *N*-heterocyclic carbenes (NHCs) (**1**, **2**) were introduced by Herrmann et al. as ligands of palladium complexes [8]. Thanks to bulky electron-donor tertiary phosphines and NHC, it became possible to react aryl chlorides and bromides in most Pd-catalyzed reactions (Heck, Stille, cross-coupling, Sonogashira, Suzuki, etc.) [9]. A mini review on the mechanism of oxidative additions of aryl halides to Pd⁰(NHC)₂ complexes is presented herein [10–12].



REACTIVITY OF BIS-CARBENE PALLADIUM(0) COMPLEXES

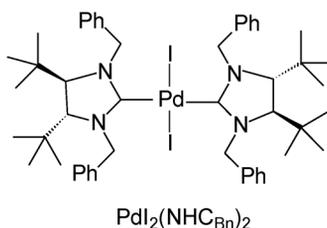
Generation of Pd⁰(NHC)₂ complexes by chemical reduction of Pd^{II}(NHC)₂ precursors

NHC [13] (**1**, **2**) and related Pd⁰(NHC)₂ [14] are mainly stable when the two nitrogen atoms bear bulky groups R. Herrmann et al. have used an unsaturated NHC (**2**) with R = Me and R' = H [8]. This ligand was introduced via a Pd^{II} precursor PdI₂(NHC)₂. In a Heck reaction performed from an aryl bromide, they observed that the slow reaction was dramatically accelerated upon addition of a chemical reductant such as hydrazine [8] (Scheme 2). This is a clear evidence for the formation of a Pd⁰ complex as the reactive species in the oxidative addition to ArBr.



Scheme 2 Evidence for the formation of a Pd⁰ complex ligated to NHC in Heck reactions.

A saturated NHC (named NHC_{Bn} in the following) has been introduced by Roland et al. [10] via $\text{PdY}_2(\text{NHC}_{\text{Bn}})_2$ ($Y = \text{I}, \text{Cl}$) precursors. They catalyze Heck reactions provided a chemical reductant such as formate is added to the reaction mixture.



From the X-ray structure of $\text{PdI}_2(\text{NHC}_{\text{Bn}})_2$, it is seen that the benzyl groups on the two N atoms are flexible and do not induce any steric hindrance around the Pd center [10]. This is probably why neither the ligand NHC_{Bn} nor $\text{Pd}^0(\text{NHC}_{\text{Bn}})_2$ can be isolated.

A problem arises: How can the reactivity of non-isolated $\text{Pd}^0(\text{NHC}_{\text{Bn}})_2$ in oxidative addition to aryl halides be investigated? The problem was solved by means of electrochemical techniques [10,12]. The electrochemical reduction of Pd^{II} precursors can indeed mimic the chemical reduction, which takes place in situ in catalytic reactions [15].

Generation of $\text{Pd}^0(\text{NHC})_2$ complexes by electrochemical reduction of $\text{PdY}_2(\text{NHC})_2$

The electrochemical reduction of $\text{PdI}_2(\text{NHC}_{\text{Bn}})_2$ (3 mM) in DMF (containing $n\text{Bu}_4\text{NBF}_4$, 0.3 M) at a steady gold disk electrode is displayed in Fig. 1. The cyclic voltammogram exhibits a single irreversible reduction peak R_1 [10].

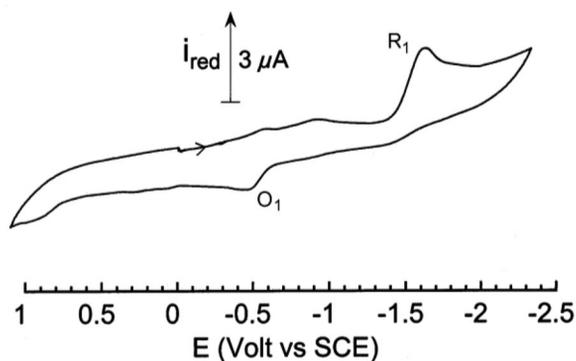
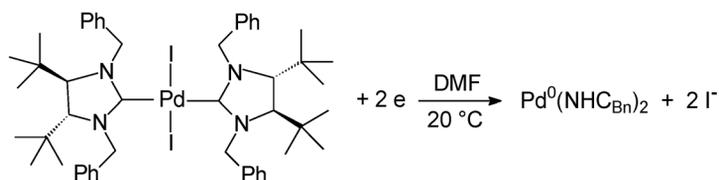


Fig. 1 Reduction of $\text{PdI}_2(\text{NHC}_{\text{Bn}})_2$ (3 mM) in DMF (containing $n\text{Bu}_4\text{NBF}_4$, 0.3 M) at a steady gold disk electrode ($d = 0.5$ mm) with a scan rate $\nu = 0.5$ V s^{-1} , at 20 °C.

Determination of the absolute number of electron(s), n , involved at R_1 gives $n = 1.8 \pm 0.1$ [16]. Consequently, the bielectronic reduction of $\text{PdI}_2(\text{NHC}_{\text{Bn}})_2$ affords a Pd^0 complex whose oxidation peak is observed on the reverse scan at O_1 (Fig. 1). Double-step chronoamperometry [15] reveals that the reduction of $\text{PdI}_2(\text{NHC}_{\text{Bn}})_2$ to $\text{Pd}^0(\text{NHC}_{\text{Bn}})_2$ is quantitative at the time scale of the electrochemical experiment. This shows that $\text{Pd}^0(\text{NHC}_{\text{Bn}})_2$ can be generated as a transient species [10] (Scheme 3).



Scheme 3 Electrochemical reduction of $\text{PdI}_2(\text{NHC}_{\text{Bn}})_2$.

Table 1 displays the oxidation peak potentials of Pd^0 complexes generated by the electrochemical reduction of $\text{PdY}_2(\text{NHC}_{\text{Bn}})_2$ ($Y = \text{I}, \text{Cl}$) or the mixed complex $\text{PdI}_2(\text{NHC}_{\text{Bn}})(\text{PPh}_3)$ [10]. When compared to other Pd^0 complexes ligated by PPh_3 [17], it is clearly seen that substitution of one or two NHC_{Bn} by PPh_3 gives Pd^0 complexes which are less and less easily oxidized. Even if oxidation peak potentials (not standard potentials) are compared, it is observed that the ligand NHC_{Bn} is more electron-donor than PPh_3 , making the corresponding Pd^0 more electron-rich and consequently more easily oxidized. A higher reactivity of the Pd^0 ligated by NHC_{Bn} is thus expected in oxidative addition to aryl halides.

Table 1 Oxidation peak potentials of Pd^0 complexes in DMF at 20 °C.

Precursor	Pd^0	E_{Ox}^{P} , V vs. SCE ^a
$\text{PdI}_2(\text{NHC}_{\text{Bn}})_2$	$\text{Pd}^0(\text{NHC}_{\text{Bn}})_2$	-0.49
$\text{PdCl}_2(\text{NHC}_{\text{Bn}})_2$	$\text{Pd}^0(\text{NHC}_{\text{Bn}})_2$	-0.48
$\text{PdI}_2(\text{NHC}_{\text{Bn}})(\text{PPh}_3)$	$\text{Pd}^0(\text{NHC}_{\text{Bn}})(\text{PPh}_3)$	-0.15
$\text{PdCl}_2(\text{PPh}_3)_2$	$\text{Pd}^0(\text{PPh}_3)_2\text{Cl}^-$	+0.03
$\text{Pd}^0(\text{dba})_2 + 2\text{PPh}_3$	$\text{Pd}^0(\text{PPh}_3)_2$	+0.14

^aDetermined at a steady gold disk electrode ($d = 0.5$ mm) with a scan rate of 0.5 V s^{-1} .

Reactivity of electrogenerated $\text{Pd}^0(\text{NHC})_2$ in oxidative additions to aryl halides

When the electrochemical reduction of $\text{PdI}_2(\text{NHC}_{\text{Bn}})_2$ (3 mM) is performed in DMF in the presence of PhBr (3 mM), the oxidation peak O_1 of $\text{Pd}^0(\text{NHC}_{\text{Bn}})_2$ is no longer detected on the reverse scan (Fig. 2a) due to the oxidative addition [10] (Scheme 4). This reaction is pretty fast since it takes place within less than 5 s which is the time elapsed between the formation of $\text{Pd}^0(\text{NHC}_{\text{Bn}})_2$ at R_1 and its oxidation at O_1 . When the scan rate ν is increased, i.e., when the time scale allotted for the oxidative addition is decreased, the electrogenerated $\text{Pd}^0(\text{NHC}_{\text{Bn}})_2$ has less time to react in the oxidative addition before it is oxidized and some $\text{Pd}^0(\text{NHC}_{\text{Bn}})_2$ is recovered, as attested by the presence of its oxidation peak at O_1 (Fig. 2b). The time has been decreased from 5 to 0.25 s by increasing the scan rate from 0.5 V s^{-1} to 10 V s^{-1} , respectively (compare Figs. 2a and 2b).



Scheme 4 Oxidative addition of the electrogenerated $\text{Pd}^0(\text{NHC}_{\text{Bn}})_2$.

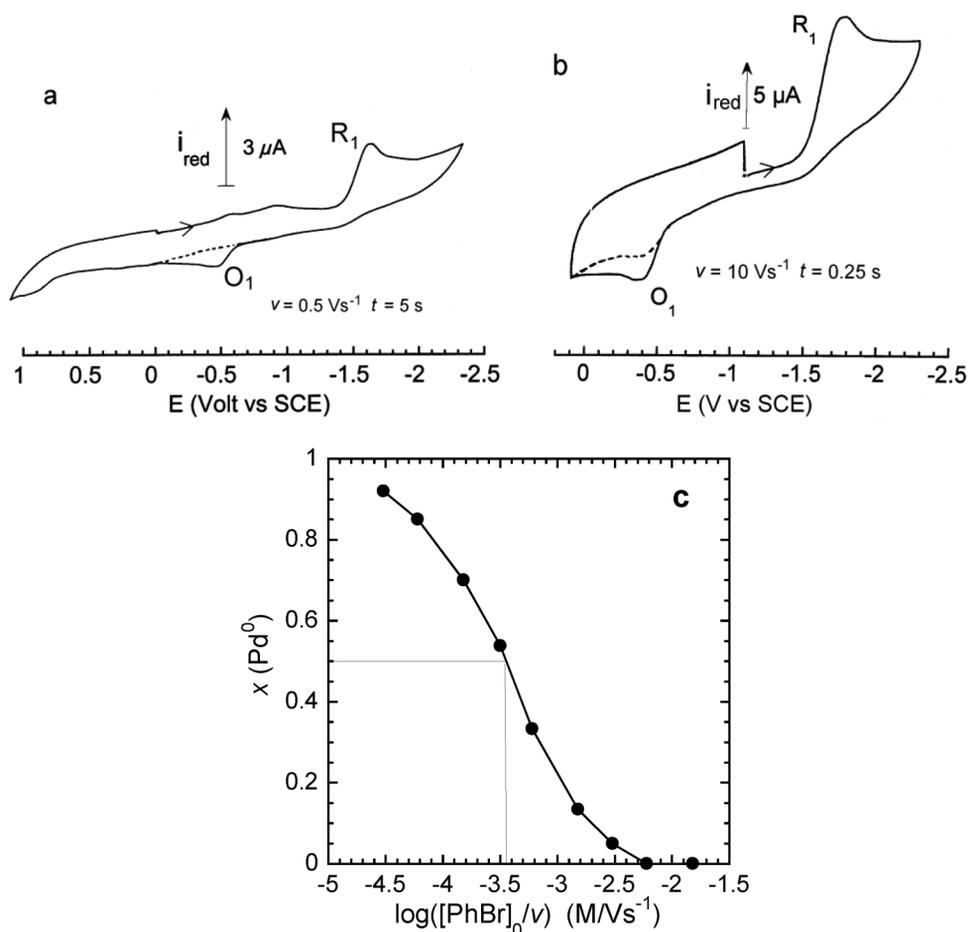


Fig. 2 (—) Reduction of $\text{PdI}_2(\text{NHC}_{\text{Bn}})_2$ (3 mM) in DMF (containing $n\text{Bu}_4\text{NBF}_4$, 0.3 M) at a steady gold disk electrode ($d = 0.5$ mm) at 20 °C; (- - -) in the presence of PhBr (3 mM): (a) with a scan rate $\nu = 0.5$ V s $^{-1}$; (b) with a scan rate $\nu = 10$ V s $^{-1}$. (c) Kinetics of the oxidative addition of PhBr (3 mM) to $\text{Pd}^0(\text{NHC}_{\text{Bn}})_2$ (3 mM) generated by the reduction of $\text{PdI}_2(\text{NHC}_{\text{Bn}})_2$ (3 mM) in DMF at 20 °C: plot of the molar fraction x of the unreacted $\text{Pd}^0(\text{NHC}_{\text{Bn}})_2$ vs. $\log([\text{PhBr}]_0/\nu)$.

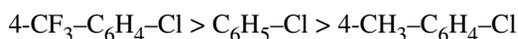
For each scan rate ν , the molar fraction x of $\text{Pd}^0(\text{NHC}_{\text{Bn}})_2$ is given by the ratio of the oxidation peak current at O_1 in the presence of PhBr relative to the oxidation peak current at O_1 in the absence of PhBr. The plot of x vs. $\log([\text{PhBr}]_0/\nu)$ gives the kinetics curve displayed in Fig. 2c. At short times (high scan rates), most $\text{Pd}^0(\text{NHC}_{\text{Bn}})_2$ is still in the diffusion layer (left part of the curve). At longer times (lower scan rates), the amount of $\text{Pd}^0(\text{NHC}_{\text{Bn}})_2$ decreases because it has more and more time to react in the oxidative addition to PhBr. The curve in Fig 2c characterizes a first-order reaction for both $\text{Pd}^0(\text{NHC}_{\text{Bn}})_2$ and PhBr. The value of the rate constant k of the oxidative addition is calculated from the curve in Fig 2c, which provides the value of the scan rate $\nu_{1/2}$ corresponding to the half-reaction. From the half-reaction time $t_{1/2} = \Delta E/\nu_{1/2}$ ($\Delta E = (|E_{\text{inversion}}^{\text{P}}| - |E_{\text{R1}}^{\text{P}}|) + (|E_{\text{inversion}}^{\text{P}}| - |E_{\text{O1}}^{\text{P}}|)$), k is calculated via the kinetic law: $1/x = kC_0t + 1$. From the value of $k = 1180$ M $^{-1}$ s $^{-1}$ (Table 2), one sees that the oxidative addition of PhBr is a very fast reaction. The electrochemistry is the only technique that both allows the generation of a transient very reactive species and the characterization of its reactivity by the determination of the rate constant of the oxidative addition.

Table 2 Rate constants k of the oxidative addition of aryl halides to electrogenerated Pd⁰ complexes in DMF at 20 °C.

Pd ⁰ complex	k (M ⁻¹ s ⁻¹)				
	PhI	PhBr	PhCl	4-CH ₃ -C ₆ H ₄ -Cl	4-CF ₃ -C ₆ H ₄ -Cl
Pd ⁰ (NHC _{Bn}) ₂	>1180	1180	0.13	0.02	0.35
Pd ⁰ (NHC _{Bn})(PPh ₃)	830	2	n.d.	n.d.	n.d.

n.d.: not determined

The rate constants of the oxidative addition of Pd⁰(NHC_{Bn})₂ to aryl halides are gathered in Table 2. The classical reactivity orders are observed [10,12]:

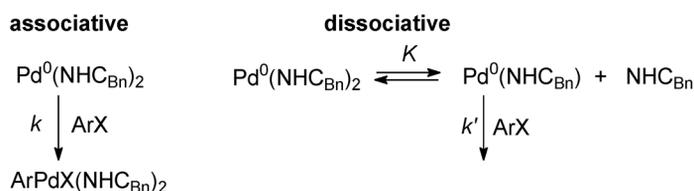


The mixed complex Pd⁰(NHC_{Bn})(PPh₃) is less reactive than Pd⁰(NHC_{Bn})₂ (Table 2). Kinetic data on the reactivity of Pd⁰(NHC_{Bn})₂ and Pd⁰(NHC_{Bn})(PPh₃) with PhI and PhBr were published in early 2003 [10]. This was the first paper on the reactivity of Pd⁰ complexes ligated by NHC in oxidative addition to aryl halides. At that time, an associative mechanism was proposed involving Pd⁰(NHC_{Bn})₂ as the reactive species (Scheme 4). In the late 2003, Caddick, Cloke et al. published a paper on the reactivity of the isolated Pd⁰(NHC_{tBu})₂ with aryl chlorides [11]. A dissociative mechanism was established involving Pd⁰(NHC_{tBu}) as the reactive species (*vide infra*). The mechanism of the oxidative addition of Pd⁰(NHC_{Bn})₂ was then investigated in more detail [12].

Associative vs. dissociative oxidative addition

Oxidative addition of Pd⁰(NHC_{Bn})₂

One main way to discriminate between an associative and a dissociative mechanism (Scheme 5) is to test the effect of added NHC on the kinetics of the oxidative addition. If the rate of the oxidative addition is not affected by the concentration of NHC, the mechanism is associative. The mechanism will be dissociative if the reaction is slower in the presence of excess NHC with a reaction order of -1. This strategy cannot be used in the case of NHC_{Bn} because the latter cannot be isolated [10].

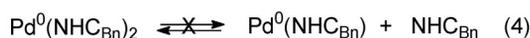
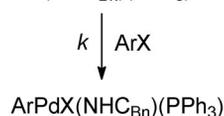
**Scheme 5** Putative mechanisms for the oxidative addition of Pd⁰(NHC_{Bn})₂.

The problem is solved via the investigation of the mechanism of the oxidative addition of the mixed complex Pd⁰(NHC_{Bn})(PPh₃) [12]. Firstly, it is observed that PPh₃ cannot displace NHC_{Bn} from Pd⁰(NHC_{Bn})(PPh₃) (eq. 1 in Scheme 6). Indeed, the well-known oxidation peak of Pd⁰(PPh₃)₃ [17b] (see Table 1) is never observed when PdI₂(NHC_{Bn})₂ is reduced in the presence of a large excess of PPh₃ (up to 10 equiv) in DMF. Consequently, the affinity of NHC_{Bn} for Pd⁰ is higher than that of PPh₃ [18] and the dissociation of NHC_{Bn} is not favored (eq. 2 in Scheme 6).



Scheme 6 Unfavored dissociative pathway from the mixed complex $\text{Pd}^0(\text{NHC}_{\text{Bn}})(\text{PPh}_3)$.

The second way of dissociation of $\text{Pd}^0(\text{NHC}_{\text{Bn}})(\text{PPh}_3)$ would be the dissociation of PPh_3 (eq. 3 in Scheme 7). If the oxidative addition of $\text{Pd}^0(\text{NHC}_{\text{Bn}})(\text{PPh}_3)$ proceeded in a dissociative mechanism via $\text{Pd}^0(\text{NHC}_{\text{Bn}})$, the reaction should be slower in the presence of excess PPh_3 . But no decelerating effect of PPh_3 is observed in the reaction of $\text{Pd}^0(\text{NHC}_{\text{Bn}})(\text{PPh}_3)$ with PhI or PhCl . Consequently, $\text{Pd}^0(\text{NHC}_{\text{Bn}})(\text{PPh}_3)$ is the reactive species in a pure associative mechanism [12] (Scheme 7).



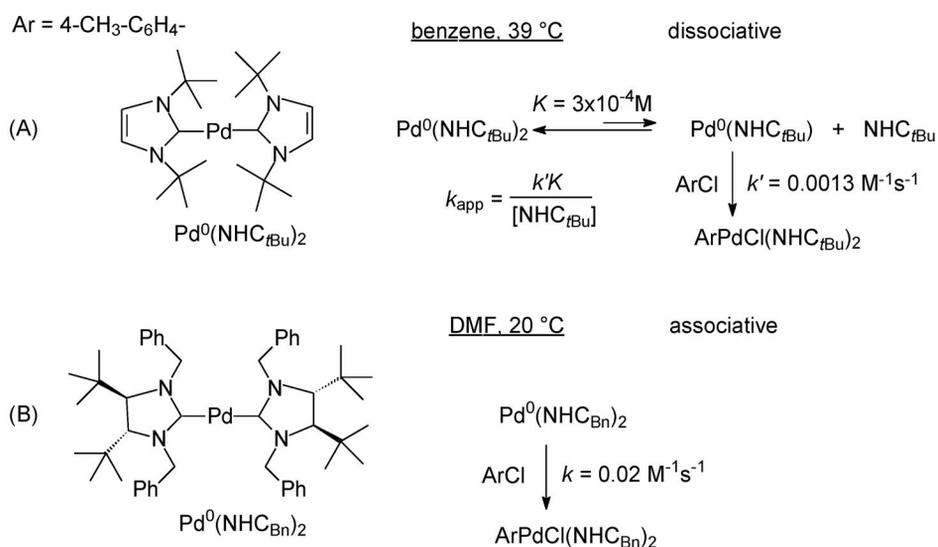
Scheme 7 Established associative mechanisms for $\text{Pd}^0(\text{NHC}_{\text{Bn}})(\text{PPh}_3)$ and $\text{Pd}^0(\text{NHC}_{\text{Bn}})_2$.

If the oxidative addition of $\text{Pd}^0(\text{NHC}_{\text{Bn}})_2$ proceeded in a dissociative mechanism via $\text{Pd}^0(\text{NHC}_{\text{Bn}})$, the concentration of $\text{Pd}^0(\text{NHC}_{\text{Bn}})$ in the equilibrium of eq. 4 in Scheme 7, should be lower than that in the equilibrium in eq. 3 because NHC_{Bn} is a better ligand for $\text{Pd}^0(\text{NHC}_{\text{Bn}})$ than PPh_3 (vide supra). Consequently, $\text{Pd}^0(\text{NHC}_{\text{Bn}})_2$ should be less reactive (via $\text{Pd}^0(\text{NHC}_{\text{Bn}})$) than $\text{Pd}^0(\text{NHC}_{\text{Bn}})(\text{PPh}_3)$. The reverse situation is observed: $\text{Pd}^0(\text{NHC}_{\text{Bn}})_2$ is more reactive than $\text{Pd}^0(\text{NHC}_{\text{Bn}})(\text{PPh}_3)$ (Table 2). Consequently, $\text{Pd}^0(\text{NHC}_{\text{Bn}})_2$ is the reactive species in a pure associative mechanism [12] (Scheme 7). $\text{Pd}^0(\text{NHC}_{\text{Bn}})_2$ is more reactive than $\text{Pd}^0(\text{NHC}_{\text{Bn}})(\text{PPh}_3)$ because it is more electron-rich.

Comparative oxidative addition of $\text{Pd}^0(\text{NHC}_{\text{Bn}})_2$ and $\text{Pd}^0(\text{NHC}_{t\text{Bu}})_2$ to aryl chlorides

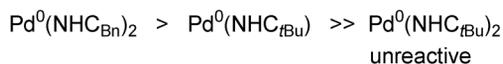
Caddick, Cloke et al. have established that the isolated bis-carbene $\text{Pd}^0(\text{NHC}_{t\text{Bu}})_2$ [14] does not directly react with aryl chlorides in an associative mechanism but via the monocarbene $\text{Pd}^0(\text{NHC}_{t\text{Bu}})$ in a dissociative mechanism [11] (path A in Scheme 8). The oxidative addition is indeed slower in the presence of $\text{NHC}_{t\text{Bu}}$ (a stable carbene) [19] with a reaction order of -1 . $\text{NHC}_{t\text{Bu}}$ is stable because of the two bulky *t*-butyl groups on the N atoms. Due to the bulk of the ligand, $\text{Pd}^0(\text{NHC}_{t\text{Bu}})_2$ is prone to dissociate to $\text{Pd}^0(\text{NHC}_{t\text{Bu}})$ and $\text{NHC}_{t\text{Bu}}$. The oxidative addition to *para*-chlorotoluene has been followed by ^1H NMR in benzene at 39°C . The reaction is quite slow because the reactive mono-carbene $\text{Pd}^0(\text{NHC}_{t\text{Bu}})$ is generated at very low concentration in its equilibrium with the major nonreactive bis-carbene $\text{Pd}^0(\text{NHC}_{t\text{Bu}})_2$. The reaction is characterized by an apparent rate constant $k_{\text{app}} = k'K/[\text{NHC}_{t\text{Bu}}]$ (path A in Scheme 8).

In contrast, NHC_{Bn} is less bulky than $\text{NHC}_{t\text{Bu}}$, $\text{Pd}^0(\text{NHC}_{\text{Bn}})_2$ does not dissociate and is the reactive species (path B in Scheme 8). Even if the reaction is performed at a lower temperature (20 vs. 39°C), the electrogenerated $\text{Pd}^0(\text{NHC}_{\text{Bn}})_2$ is more reactive with *para*-chlorotoluene than



Scheme 8 Comparative mechanisms for the oxidative addition of Pd⁰(NHC_{tBu})₂ and Pd⁰(NHC_{Bn})₂.

Pd⁰(NHC_{tBu})₂ at identical concentrations [11,12]. Consequently, at identical concentrations, a bis-carbene Pd⁰ complex such as Pd⁰(NHC_{Bn})₂, which reacts in an associative mechanism, may be more reactive than a bis-carbene Pd⁰ complex such as Pd⁰(NHC_{tBu})₂, which reacts via Pd⁰(NHC_{tBu}) in a dissociative mechanism because the latter complex is always present at very low concentration.



Linear Pd⁰L₂ (L-Pd-L) complexes must be bent to undergo oxidative addition [20]. There is no steric demand around the Pd center in Pd⁰(NHC_{Bn})₂, which can easily be bent prior oxidative addition. In contrast, there is a strong steric hindrance in Pd⁰(NHC_{tBu})₂, which cannot be bent and cannot react as it. Instead, a dissociation of the bulky ligand NHC_{tBu} takes place.

Therefore, the structure of the reactive species in oxidative additions, a bis- or a mono-carbene Pd⁰ complex, seems to be controlled by the bulk of the NHC ligand. However, there is no relationship between the structure of the reactive species and its reactivity since for two different carbenes, the bis-carbene Pd⁰(NHC_{Bn})₂ can be even more reactive than the mono-carbene Pd⁰(NHC_{tBu}) when generated at the same concentration (compare respective values of *k* and *k'* in Scheme 8). Therefore, having in hand a reactive mono-carbene Pd⁰ complex is not a guarantee for a fast oxidative addition because it is always generated at low concentration in its equilibrium with the related nonreactive bis-carbene Pd⁰ complex.

CONCLUSION

Electrochemistry is an efficient technique that can mimic the chemical reduction of Pd^{II} precursors ligated by NHCs to generate bis-carbene Pd⁰ complexes, Pd⁰(NHC)₂. Moreover, kinetic data on the reactivity of Pd⁰(NHC)₂ complexes in oxidative additions to aryl bromides and chlorides can be obtained by the same technique. Pd⁰(NHC_{Bn})₂ is found to be more reactive than the mixed complex Pd⁰(NHC_{Bn})(PPh₃). Both are the reactive species in an associative mechanism. In the case of more bulky ligands such as NHC_{tBu}, the isolated bis-carbene Pd⁰(NHC_{tBu})₂ dissociates to the mono-carbene Pd⁰(NHC_{tBu}), which is the reactive species in oxidative additions to aryl chlorides. The reactivity of the

mono-carbene Pd⁰(NHC_{*t*}Bu) is, however, controlled and limited by its low concentration in its equilibrium with the major but unreactive bis-carbene Pd⁰(NHC_{*t*}Bu)₂.

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3. Reaction rate = $k[\text{Pd}^0\text{L}_2][\text{ArX}] = kK[\text{Pd}^0\text{L}_3][\text{ArX}]/[\text{L}] = k_{\text{app}}[\text{Pd}^0\text{L}_3][\text{ArX}]$.
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